Hepatocellular carcinoma surveillance: Eastern and Western perspectives

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Eastern and Western guidelines for the management of hepatocellular carcinoma (HCC) are known to significantly differ on many points, because they reflect different diagnostic and therapeutic approaches to this cancer. Importantly, these guidelines are primarily consensus-driven when it comes to surveillance, both in term of the tests used and surveillance program design. The main difference between East and West lies in clinical practice, as several Eastern countries implement coordinated and systematic surveillance programs, while most Western countries rely on individual adherence to surveillance recommendations. This review article presents an overview of the evidence supporting surveillance programs for HCC, with a particular focus on the efficacy, cost-effectiveness, and consequences of this approach for patient survival. Western and Eastern guideline recommendations are discussed.

Keywords: Ultrasound; Surveillance; Cirrhosis; Imaging

Introduction

Hepatocellular carcinoma (HCC) is the most frequent primary liver cancer and the second most common cause of cancer-related deaths worldwide [1–3]. Like other cancers, outcomes and long-term survival are better in patients diagnosed with early-stage HCC. For example, in patients with preserved hepatic function, no evidence of portal hypertension, and single asymptomatic tumors <5 cm in diameter, surgical resection provides 5-year survival rates of 70% [4]. Similarly, the survival rate following liver transplantation for tumors that meet the Milan criteria (solitary nodule <5 cm or 3 nodules that are each <3 cm in diameter) is nearly 75% [4,5]. Patients with a larger tumor burden are frequently not candidates for curative treatment, and therefore their expected survival rate is lower. Unfortunately, fewer than 30% of patients are diagnosed early enough to meet criteria for tumor ablation, resection, or transplantation [6]. This supports the rationale for developing an effective surveillance program designed to improve patient management.

Surveillance programs involve closely monitoring patients with certain regularly scheduled examinations or tests. At first glance, HCC would seem to fulfill all criteria established by the World Health Organization for a surveillance program: the disease burden is an important health problem worldwide, there is a clearly identified target population (patients with cirrhosis and chronic liver
diseases), surveillance is accepted by both patients and health providers, surveillance accuracy is acceptable, there are standardized recall procedures, surveillance is affordable, there is an advantage of treating early-stage HCC, and finally, surveillance reduces mortality.

Interestingly, while Western and Eastern guidelines significantly differ about the diagnosis and management of HCC, liver ultrasound (US) is universally considered to be a valid first-line examination technique for tumor detection and has been adopted by all guidelines [1,7-10]. However, its efficacy as a surveillance method is mainly based on the results of a single Chinese randomized controlled trial [11]. Indeed, a thorough review of the literature shows that there is a lack of robust evidence to support its benefit in reducing mortality. The main difference between Western and Eastern countries lies in the way clinical practice has adapted to this limited level of evidence. While several Eastern countries have engaged in nationwide systematic and active use of surveillance, leading to significant improvements, most Western countries still rely on individual acceptance and participation in surveillance programs, with more questionable results.

The aim of this review article is to provide an overview of the evidence supporting these surveillance programs together with their limitations, and to discuss and compare Western and Eastern positions.

Eastern and Western Guidelines: More Similarities Than Differences

High-Risk Populations

Around 90% of HCCs develop in patients with underlying risk factors, most frequently chronic viral hepatitis (types B and C), alcohol abuse, and non-alcoholic fatty liver disease (NAFLD) [6]. The epidemiology of risk factors strongly depends upon geographical factors: while most cases of HCC are associated with hepatitis B (60%) in several Eastern and Southeastern Asian countries, chronic hepatitis C appears to be a major risk factor in Japan and in Western countries [4], while excessive alcohol consumption is most frequent in Western countries, and the contribution of NAFLD is increasing, especially in North America [2,12].

Patients with cirrhosis

Most patients with HCC are diagnosed at the stage of cirrhosis, and approximately one-third of patients with cirrhosis will develop HCC during their lifetime [13], corresponding to a risk of approximately 1%–8% per year. It is important to note that this risk varies depending on the etiology of cirrhosis and the possible control of its cause. This rate is around 2% in patients with hepatitis B virus (HBV)–related cirrhosis, and up to 3%–8% in hepatitis C virus (HCV)–related cirrhosis in the absence of viral control or eradication, respectively [14]. According to the Japanese guidelines, this justifies identifying patients with cirrhosis and HBV or HCV infection as “super-high-risk” patients (Table 1) [9]. However, successful viral eradication leading to a sustained virological response in HCV and HBV patients significantly decreases, but does not eliminate, the risk of HCC [15,16]. Other factors, such as male sex, age, and the presence of portal hypertension have been shown to increase the risk of HCC in patients with cirrhosis. However, to date, these factors have not been incorporated into recommendations, and all patients with cirrhosis should be included in HCC surveillance programs, whatever the control or severity of the underlying cause of liver disease (level of evidence, moderate; level of recommendation, high).

Non-cirrhotic patients

Although the actual yearly incidence has not been clearly identified,
patients with chronic active HBV infection are at risk of HCC even in the absence of cirrhosis. It is even recommended to screen specific subgroups of inactive HBV carriers such as African males born in Africa because of aflatoxin-B1 exposure [17]. Fewer studies have been conducted on HCV, but the available data suggest that patients with advanced fibrosis have a high risk of HCC [18,19].

Data on the incidence of HCC in non-cirrhotic patients with nonviral chronic liver diseases, such as NAFLD, autoimmune liver disease, hemochromatosis, or others, are also limited [1,12]. The risk in patients with NAFLD is probably not limited to those with advanced fibrosis or cirrhosis [12,20]. However, the uncertainty regarding the actual incidence of HCC in cases of NAFLD without cirrhosis, which occur at high rates in Western (and now even Eastern) populations, explains why guidelines on HCC surveillance recommend following current practices and only performing surveillance in patients with cirrhosis (level of evidence: low) [12,21]. Moreover, this population also has more competing causes of mortality than patients with viral hepatitis, which may impact the efficacy of surveillance.

Evidence Supporting Surveillance Programs

**Benefits of surveillance programs**

The extant evidence on the efficacy of surveillance programs is mainly based on the results of a Chinese randomized controlled trial. This population-based cluster study randomized surveillance (measurement of α-fetoprotein [AFP] levels and liver US performed every 6 months) versus no surveillance in entire villages of patients with HBV and reported a 37% reduction in HCC-related mortality in the surveillance group, despite a low rate of participation (55%) [11]. Importantly, not all patients included in this study were cirrhotic. Therefore, the target population was different from the target population advocated in most programs. In fact, no randomized controlled trials have been conducted on cirrhotic populations.

Since this study, close to 40 observational studies have been published, showing that surveillance enables the identification of early-stage HCC and increases the number of patients receiving curative treatment. The 2018 guidelines issued by the American Association for the Study of Liver Diseases summarize this literature [8]. The authors report an odds ratio (OR) of 2.11 (95% confidence interval [CI], 1.88 to 2.33) of surveillance for the early detection of HCC (from 10,904 patients in 38 observational studies), and an OR of 2.24 (95% CI, 1.99 to 2.52) for curative treatment (from 24,373 patients in 35 observational studies) [8]. The authors also reported an OR of 1.90 (95% CI, 1.67 to 2.17) for 3-year overall survival (from 10,850 patients in 23 studies) [8].

Yet, these studies should be interpreted with caution because they suffer from the methodological biases of cohort studies. That is, they are not representative of cirrhotic populations as a whole, and, more importantly, they are subject to lead-time bias and length-time bias.

**Lead-time bias**

Lead time is the length of time between an early diagnosis with surveillance and the time at which the diagnosis would have been made without screening. The lead-time bias corresponds to a perceived longer survival time due to surveillance, even if surveillance does not actually affect the course of the disease.

![Fig. 1. Illustration of the lead-time bias.](image)

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One explanation may be that the real effect on survival is limited. Recently, Cadier et al. [25] reported a mean gain of 0.37 years. This may seem rather low, but it has to be compared to the short median overall survival of patients with HCC (e.g., 9.4 months, or 0.78 years, in the recent national French observational study by Goutte et al. [26]).

**Cost-effectiveness**

Cost-effectiveness analysis is a form of economic analysis that compares the relative costs and outcomes (effects) of different courses of action. For HCC surveillance, cost-effectiveness analysis should compare the gain in quality-adjusted life expectancy obtained following surveillance to the total costs of the program. However, it is important to note that the former depends upon the incidence of HCC and the possibility of providing curative management to patients diagnosed with HCC, while the latter includes the costs of surveillance tests, as well as false positive cases, tumor diagnosis, staging, and of course, treatment.

Studies show that an incidence of HCC of at least 1.5% per year would justify surveillance in HCC in patients with cirrhosis [27], whatever the etiology. However, the surveillance of Child-Pugh class C patients, except those awaiting liver transplantation, is probably not cost-effective due to the difficulty of performing secondary curative treatment [28,29]. In 2012, Ruggeri [30] published a systematic review of seven studies providing a full cost-effectiveness evaluation of different HCC surveillance techniques. These results showed that US, alone or in association with AFP, was likely to be the most cost-effective strategy. Indeed, although this strategy has been consistently reported to be the most effective program for early tumor diagnosis, it is also associated with increased costs compared to longer testing intervals (i.e., 12 months or more). This extra cost is compensated by the lower cost of secondary treatments. Indeed, the cost of surveillance and diagnosis only represents 10%–20% of the total costs of cancer management [31,32]. This was recently confirmed in a study performed in France and the United States based on the assessment of Markov models and transition probabilities derived from academic and real-life data obtained in longitudinal cohorts [25].

Finally, and importantly, previous results may not be easily extrapolated to patients with NAFLD. Indeed, in this setting, computed tomography (CT) or magnetic resonance imaging (MRI) is more frequently performed after a first incomplete US examination of the liver. As a consequence, although surveillance based on regular US is considered cost-effective in patients at risk for HCC, that may no longer be the case if MRI or CT scans have to be performed. Whether or not the abovementioned short MRI scanning protocols may replace US in this context requires further large-scale investigation.

**Surveillance Modality**

**Tests to be used**

It is important to distinguish surveillance tests from diagnostic tests. The former aim at detecting focal lesions in the liver, whatever their final nature, while the latter aim at providing a definitive characterization of those nodules (i.e., whether a nodule is HCC). The guidelines suggest using two main types of tests for HCC surveillance: serological and imaging examinations (performed either separately or in combination). The most widely accepted imaging modality, which is present in and recommended by all guidelines, is US (level of evidence, moderate; level of recommendation, high). It is inexpensive, accessible, and well accepted by patients, and it has an acceptable diagnostic accuracy. It is also frequently the only imaging method available in developing countries or remote rural areas. The reported sensitivity of this test ranges from 58% to 89%, with an excellent specificity (above 90%) [33]. A meta-analysis including 13 prospective studies showed that US surveillance was accurate for the detection of all types of HCC before it was clinically detectable with a pooled sensitivity of 94%. However, US was less effective for detecting early-stage HCC, with a sensitivity of only 63% [34]. Tzartzeva et al. [35] recently published another meta-analysis including 32 studies (13,367 patients) and reported lower sensitivities. US detected any-stage HCC with 84% sensitivity (95% CI, 76% to 92%), but early-stage HCC with only 47% sensitivity (95% CI, 33% to 61%) [24]. This low sensitivity is explained by patient-related factors that may limit US exploration of the liver (obesity, marked steatosis, heterogeneous cirrhotic liver parenchyma, and poor cooperation) and tumor-related features (location, infiltrative forms, and tumor echogenicity). The fact that obesity and steatosis compromise the completeness of an US examination of the liver is clinically important in the context of the increasing epidemic of NAFLD. It shows that results derived from populations with other chronic liver diseases may not be easily extrapolated to patients with NAFLD. Technical limitations and the radiologist’s skill and expertise play also an important role. Indeed, a recent Japanese study with US performed by highly skilled radiologists reported detection of tumors with a mean diameter of 1.6±0.6 cm [36].

The role of other imaging techniques has not been thoroughly investigated. A recent randomized study comparing twice-a-year US to once-a-year triple-phase-contrast CT reported that US was slightly more sensitive and less expensive for the detection of early HCC [37]. Furthermore, the use of CT requires ionizing radiation, which can lead to a substantial cumulative dose in populations requiring regular screening. A recent meta-analysis reported a sensitivity of
84% with gadoxetic acid-enhanced MRI for the detection of all HCC [38]. Nevertheless, despite its better results, MRI is not suitable for surveillance programs because a complete MRI examination takes between 30 and 45 minutes. Therefore, the use of short scanning protocols that take less than 10 minutes has been suggested; such protocols reduce the number of acquired sequences and concentrate on the most sensitive sequences for tumor detection (T2-weighted, diffusion-weighted, and T1-weighted during the hepatobiliary phase). This was supported by recent retrospective studies showing that "simulated" fast MRI protocols (by limiting interpretation to selected sequences) could be an acceptable alternative to US [39-41].

The use of serological markers is more controversial (level of evidence: low). AFP has been the most widely investigated. More recently, des-gamma-carboxy prothrombin, also known as prothrombin induced by vitamin K absence II (PIVKA II), and the ratio of glycosylated AFP to total AFP have been analyzed [42,43]. The results of AFP as a test for surveillance are poor because only 10%–20% of cases of early HCC express AFP. Although the combination of US and AFP has been estimated to increase the sensitivity for early HCC by 6% compared to US alone, the rate of false positive results is also increased, with negative consequences on direct and indirect costs for each early HCC detected [44,45]. This explains why Western guidelines do not recommend systematically measuring AFP for surveillance [1,8]. However, the recent meta-analysis from Tzartzeva et al. [35] questions this approach. Indeed, in studies comparing US with and without AFP measurements, US alone detected any-stage HCC with a lower level of sensitivity than US with AFP measurement (relative risk [RR], 0.88; 95% CI, 0.83 to 0.93) and early-stage HCC with a lower level of sensitivity than US with AFP measurements (RR, 0.81; 95% CI, 0.71 to 0.93). However, US alone detected HCC with a higher level of specificity than US with AFP measurements (RR, 1.08; 95% CI, 1.05 to 1.09). US with AFP measurements detected early-stage HCC with 63% sensitivity (95% CI, 48% to 75%), while US without AFP measurements did so with 45% sensitivity (95% CI, 30% to 62%) (P=0.002).

In line with these results, Eastern guidelines take a different approach and still propose a combination of US and serum markers (Table 2) [7,9,10]. The most extensive program is that of the Japanese Society of Hepatology, which recommends US and the measurement of tumor markers (including AFP, AFP-L3, and PIVKA-II) for both super-high-risk and high-risk populations [9]. Interestingly, these guidelines also propose periodic imaging with dynamic CT or preferably dynamic gadoxetic-enhanced MRI for super-high-risk patients and those in whom US evaluation is difficult due to a very coarse background liver parenchyma caused by cirrhosis and/or obesity.

### Surveillance Interval

Based on the expected doubling time of HCC, all guidelines recommend surveillance at 6-month intervals, except the Japanese guidelines, which also recommend a 3- to 4-month interval for super-high-risk populations (Table 2) [16]. In this setting, CT or MRI should be performed every 12 months. The recommended 6-month interval for US surveillance is based on the results of a large French randomized multicenter study that did not show any benefit to shorter surveillance intervals [46]. This study showed that a 3-month interval did not significantly increase the likelihood of detecting small (<3 cm) HCCs (79% vs. 70%), or improve the amenability to curative treatment (62% vs. 58%) or 5-year survival (85% vs. 82%).
Factors associated with program uptake

Factors may include convincing and recommendations by physicians, patient acceptance and adherence, and the organization of the healthcare system, as well as economic, cultural, and social influences. It is important to stress that the most important factor is the failure of the referring physician to prescribe surveillance in high-risk patients. Several studies have tried to identify other factors. Davila et al. [51] demonstrated that being followed by a gastroenterologist/hepatologist or an academic physician was associated with a higher likelihood of receiving surveillance than being followed by a primary care physician. This was recently confirmed by Goldberg et al. [53] in a series of 26,577 patients. Farvardin et al. [54] tried to identify the association between surveillance and patient knowledge, attitudes, and perceived barriers in a racially diverse and socioeconomically disadvantaged cohort of patients with cirrhosis. They showed that around 50% of the patients believed that eating a healthy diet made HCC surveillance unnecessary, and that close to 35% believed that HCC surveillance was not necessary if they had a normal physical exam and/or lacked clinical symptoms. Overall, nearly half the patients were found to have barriers to receiving HCC surveillance, including difficulty with the scheduling process, costs, and transportation difficulties. Goldberg et al. [53] also reported an inverse relationship between US lead time and the odds of having the test performed (OR, 0.77; 95% CI, 0.72 to 0.82 when ordered >180 days ahead of time; and OR, 0.90; 95% CI, 0.85 to 0.94 if the lead time was 91–180 days).

Addressing the limitations: the Japanese experience

Since large observational studies are, and will remain, limited by methodological biases, another possible way of demonstrating the effect of surveillance is to look at countries that have implemented nationwide active and systematic surveillance policies, such as Japan.

Nationwide surveillance for the early detection of HCC has become common practice in Japan for over 30 years. Since the
Surveillance strategy for HCC


Conclusion

HCC surveillance based on regular US examination of the liver, alone or in combination with serum markers, appears to be beneficial and cost-effective. It leads to the detection of earlier-stage disease, a higher rate of curative treatment and, probably, improved survival. This strategy has been endorsed by both Western and Eastern guidelines on HCC surveillance despite a limited level of evidence. Nevertheless, daily practice significantly differs in Western and Eastern countries. In Western countries, surveillance is debated, patient uptake is lower, and participation in surveillance programs relies mostly on individual compliance, rather than nationwide structured surveillance. In Eastern countries such as Japan and South Korea, nationwide surveillance programs have been implemented, and the reported cancer-related survival is significantly higher than that of Western countries. Significant collective efforts in terms of education of physicians, patient acceptance and adherence, and organization of the healthcare system must be undertaken, with due consideration of economic, cultural, and social influences.

Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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